



ACCOUNT OPENING FORM - CORPORATE

Section 1: ENTITY DETAILS

Residency: ☐ Resident ☐ Non-resident If non-resident - Country of incorporation/registration:

Registered name:

Name of account/Trade name:

Physical business address (if applicable):

Postal address: Postal code:

Head office address/Registered address (if applicable): Postal code:

Identity/Trust/Registration No. Registration date (YYYY-MM-DD):

Income tax number: PIN number: VAT number:

Establishment date (YYYY-MM-DD): Financial year end (MM-DD):

Anticipated annual turnover (in local currency):

Type of activity expected on the account (e.g. cash deposits, debit orders):

Source of funds (e.g. Donation, Third Party loan):

Source of income (e.g. profit from sales):

Type of business conducted: No. of employees/members

Business telephone number: Business fax number:

Contact details of Director/Authorised signatory

Contact person	Capacity	Contact telephone numbers	E-mail
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Section 2: BANK ACCOUNT DETAILS

Account Name: Account Number:

Bank: Branch: Country:

Sort Code/Routing Number/SWIFT Number/IBAN Number:

Swift Code:

Section 3: RISK PROFILE

Investment Objective - tick where appropriate

- ☐ Income - seeking to earn income through holding of income yielding securities
- ☐ Growth - seeking to achieve growth of capital through investment in securities
- ☐ Short term growth - short term trading to capitalize on market fluctuations
- ☐ Speculation - aggressive investments that assume above-average market risk to achieve corresponding gains
- ☐ Others (specify):

Section 4: RELATED PARTIES

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A. Natural persons

These are individuals who are the authorised signatories, guardians of minors, members of close corporations, elected office bearers of informal bodies, partners of a partnership including silent partners, persons who exercise executive control in a partnership, directors of companies, including all directors authorised to act on behalf of the company, shareholders of companies with 25% or more of the voting rights trustees of a trust, beneficiaries of a trust, founders of a trust, manager of affairs of a foreign company, principal executive officer of domestic companies.

I/We consent to the SBGS carrying out identity and fraud prevention checks.

	Full names	Type of Identity and number (e.g. Passport, National ID)	Country of issue of Identity document and Nationality	Residential address	Residential / Cell Contact telephone number	Capacity Designation	Signatory on account (Yes/No)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

B. Juristic entities

These are legal entities / businesses who are close corporations, partnerships, trusts, companies, other legal entities such as informal bodies, who are shareholders with 25% or more of the voting rights.

Record details of related parties

	Registered name / Name of Trust / Other legal entity	Registration number / Trust number	Type of organisation (e.g. Ltd company / Informal Body)	Trade name	Registered address / Master's address (Trusts)	Head office address (if multiple offices)	Physical business address
1							
2							
3							
4							





Section 5: INVESTMENT PRODUCTS

- ☐ Equities (Complete and attach CDS account opening form) ☐ Fixed Income (I/we authorise SBG securities to use my/our KYC information to open a Central Depository System account at Central Bank of Kenya)

Section 6: SIGNING MANDATE

A. Signing Instruction for Limited Company

- ☐ Only one to sign ☐ Any Director to sign ☐ Any two Directors to sign ☐ All Directors to sign
☐ Others (please specify)

B. Signing Instruction for Sole Proprietorship or Partnership

- ☐ By myself (for Sole Proprietorship) ☐ By any of us (for Partnership)
☐ Other arrangement (please specify)

Section 7: E-MAIL INDEMNITY

The client irrevocably and unconditionally agrees that of investment accounts, email/online/fax instructions (if opted for) will be acted upon without any other written confirmation. SBG Securities Limited will not be liable for any adverse incident that might arise upon execution of such orders. The client acknowledges that they are fully aware and cognizant of the various risks inherent and associated with communicating instructions by electronic means and the potential for various fraudulent activities arising from and out of such transmissions are fully prepared to accept such risks and that SBG Securities Limited will not assume such risks which may have far reaching consequences.

Section 8: DATA PRIVACY NOTICE

We collect, process, and share your personal information to provide you with the services and products that you applied for. For any other processing not related to this purpose, your consent will be obtained. To read our detailed Privacy Statement, please visit: <https://www.sbgsecurities.co.ke/kenya/personal/about-us/legal/privacy-and-security-statement>

Section 9: DECLARATION

I/We the undersigned confirm that I/ we have read and understand the terms and conditions available on our website: <https://www.sbgsecurities.co.ke/sbgsecurities/securities/about-us/terms-and-conditions> and verify that the information provided above is true and that the below will be used as specimen signatures for any transactions with SBG Securities Ltd. I/We declare that the source of funds indicated above do not arise out of money laundering or illicit activities.



Section 10: SIGNATORIES

Signatory

Name: _____

Date: _____

Signatory

Name: _____

Date: _____

Signatory

Name: _____

Date: _____

Signatory

Name: _____

Date: _____

Signatory

Name: _____

Date: _____

Signatory

Name: _____

Date: _____

Company Seal

Email Account Services