



ACCOUNT OPENING FORM - CORPORATE

Section 1: ENTITY DETAILS											
Residency: Resident Non-resident	If non-reside	nt - Country of incorporation/registrat	ion								
Registered name:											
Name of account/Trade name:											
Physical business address (if applicable):											
Postal address:		Postal c	ode:								
Head office address/Registered address (if applicable	e):	Postal c	ode:								
Identity/Trust/Registration No.		Registration date (YYYY	-MM-DD):								
Income tax number:	PIN number:	VAT n	umber:								
Establishment date (YYYY-MM-DD):		Financial year end (MM-DD):									
Anticipated annual turnover (in local currency):											
Type of activity expected on the account (e.g. cash d	eposits, debit orders)	:									
Source of funds (e.g. Donation,Third Party loan):											
Source of income (e.g. profit from sales):											
Type of business conducted:		No. of emp	loyees/members								
Business telephone number:	Business telephone number: Business fax number:										
Contact details of Director/Authorised signa	atory										
Contact person	Capacity	Contact telephone numbers	E-mail								
Section 2: BANK ACCOUNT DETA	AILS										
Account Name:		Account Number:									
Bank:	_ Branch:	Country	<i>y</i> :								
Sort Code/Routing Number/SWIFT Number/IBAN Nu	ımber:										
Swift Code:											
Section 3: RISK PROFILE											
Investment Objective - tick where appropriate											
☐ Income - seeking to earn income through holding	g of income yielding s	ecurities									
☐ Growth - seeking to achieve growth of capital thr	ough investment in se	ecurities									
☐ Short term growth - short term trading to capitalize	ze on market fluctuati	ons									
☐ Speculation - aggressive investments that assure	ne above-average ma	rket risk to achieve corresponding ga	ins								
Others (specify):											



Section 4: RELATED PARTIES

A. Natural persons

These are individuals who are the authorised signatories, guardians of minors, members of close corporations, elected office bearers of informal bodies, partners of a partnership including silent partners, persons who exercise executive control in a partnership, directors of companies, including all directors authorised to act on behalf of the company, shareholders of companies with 25% or more of the voting rights trustees of a trust, beneficiaries of a trust, founders of a trust, manager of affairs of a foreign company, principal executive officer of domestic companies. I/We consent to the SBGS carrying out identity and fraud prevention checks.

Signatory on account (Yes/No)										
Capacity Designation ac										
Residential / Cell Contact telephone number										
Residential address										
Country of issue of Identity document and Nationality										
Type of Identity and number (e.g. Passport, National ID)										
Full names										
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B. Juristic entities

These are legal entities / businesses who are close corporations, partnerships, trusts, companies, other legal entities such as informal bodies, who are shareholders with 25% or more of the voting

Record details of related parties

Physical business address				
Head office address (if multiple offices)				
Rgistered address / Master's address (Trusts)				
Trade name				
Type of organisation (e.g. Ltd company / Informal Body)				
Registration number / Trust number				
Registered name / Name of Trust / Other legal entity				
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Section 5: INVESTMENT PRODUCTS
Equities (Complete and attach CDS account opening form) Fixed Income (I/we authorise SBG securities to use my/our KYC information to open a Central Depository System account at Central Bank of Kenya
Section 6: SIGNING MANDATE
A. Signing Instruction for Limited Company
☐ Only one to sign ☐ Any Director to sign ☐ Any two Directors to sign ☐ All Directors to sign
☐ Others (please specify)
B. Signing Instruction for Sole Proprietorship or Partnership
☐ By myself (for Sole Proprietorship) ☐ By any of us (for Partnership)
☐ Other arrangement (please specify)
Section 7: E-MAIL INDEMNITY

The client irrevocably and unconditionally agrees that of investment accounts, email/online/fax instructions (if opted for) will be acted upon without any other written confirmation. SBG Securities Limited will not liable for any adverse incident that might arise upon execution of such orders. The client acknowledges that they are fully aware and cognizant of the various risk's inherent and associated with communicating instructions by electronic means and the potential for various fraudulent activities arising from and out of such transmissions are fully prepared to accept such risks and that SBG Securities Limited will not assume such risks which may have far reaching consequences.



Section 8: DATA PRIVACY NOTICE

We collect, process, and share your personal information to provide you with the services and products that you applied for. For any other processing not related to this purpose, your consent will be obtained. To read our detailed Privacy Statement, please visit: https://www.sbgsecurities.co.ke/kenya/personal/about-us/legal/privacy-and-security-statement



Section 9: DECLARATION

I/We the undersigned confirm that I/ we have read and understand the terms and conditions available on our website: https://www.sbgsecurities.co.ke/sbgsecurities/securities/about-us/terms-and-conditions and verify that the information provided above is true and that the below will be used as specimen signatures for any transactions with SBG Securities Ltd. I/We declare that the source of funds indicated above do not arise out of money laundering or illicit activities.







Section 10: SIGNATORIES		
Signatory	Signatory	Signatory
Name:	Name:	Name:
Date:	Date:	Date:
Signatory	Signatory	Signatory
Name:	Name:	Name:
Date:	Date:	Date:
Company Seal		





Colour photo

EUROPA TOWERS; WESTLANDS, 10TH FLOOR, ALONG LANTANA ROAD, NAIROBI P.O. BOX 3464 00100, GPO NAIROBI, KENYA, Tel: 020-2912000; FAX 2229405,

	www.cdsckenya.com																														
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Find the Terms & Conditions at: www.cdsckenya.com

SMS Services		Online Account Services		Email Account Services
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